

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

First Named Inventor: IVANOV, Ivo V.

Art Unit: 3694

Appln. No.: 10/777,093

Examiner: TROTTER, S.S.

Filed: February 13, 2004

Confirmation No.: 4549

For: DYNAMIC REBALANCING OF ASSETS IN
AN INVESTMENT PORTFOLIO

* * *

AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated November 27, 2009, please amend the
above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on
page 2 of this paper.

Remarks begin on page 8 of this paper.